Short Communication

The Effect of Tin Weight Fraction and Annealing Condition on Electrical and Optical Properties of ITO/TiO₂ Nanostructured Film

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Abstract

High transparent conductive indium tin oxide/titanium dioxide (ITO/TiO₂) nanostructured thin film is prepared by sol-gel dip-coating technique. This method yielded monodisperse ITO nanoparticles with mean diameter of 12 nm. The atomic composition of the Sn within the ITO structure changed from 0-20 wt.%. Through controlled annealing temperature at 550 °C, the results of four-point probe technique showed that the resistivity of the ITO film depends on the Sn doping ratio, the film thickness and atmospheric conditions applied during annealing. The ITO nanostructured film with thickness of 165 nm containing 8 wt.% Sn atoms annealed under vacuum condition showed a low resistivity of $5.1 \times 10^{-4} \Omega$ -cm and transparency as high as 90% with wavelengths between 500 and 700 nm. The refractive index and extinction coefficient of the ITO/TiO₂ thin film is determined by using the UV-vis spectrophotometer. An optical method is used to determine the band gap of the film. Experimental results showed that the refractive index, extinction coefficient, and band gap was closely on the atmospheric conditions and crystallinity of the ITO nanostructures. The monodispersed ITO nanostructures and its preparation methodology can be used for the fabrication of novel thin films that applied for large-scale integrated opto-electronic devices.

Keywords: ITO/TiO₂ thin film, Nanostructures, Electrical Resistance, Optical Transmittance.

1. INRODUCTION

Transparent conducting oxides (TCOs) special semiconductors are that are optically transparent and electrically conductive. Among many TCO films, Indium tin oxide (ITO) as known as an ntype semiconductor, which show good conductivity and high transmission in the visible light and near-IR region [1-4]. Its transparency to visible light is related to the large band gap energy of ITO (3.4 and 4.3 eV), while its conductivity comes from its intrinsic oxygen vacancy defects and extrinsic defects caused by Sn⁴⁺ doping. Nanostructured ITO Thin films are widely used in a variety of optoelectronic device applications such as electroluminescence displays, solar cells, gas sensors, flat panel displays, liquid crystal displays and organic light emitting diodes [5-12].

Several methods such as sputtering, reactive thermal deposition, chemical vapor deposition, electron beam evaporation, spray pyrolysis and sol-gel dip-coating were reported for preparing ITO nanostructured thin films [13-18]. In cases, high temperature the most techniques are required to preparation of thin films. The sol-gel dip coating method posses several advantages like lower processing temperature, higher control on of nanoparticles better size and homogeneity of thin films [19-20].

The experimental results have shown that the preparation of high transparent thin

films by dip-coating method needs to control morphology and thickness of the film [21]. The resistivity of the ITO thin films depends on oxygen vacancies and substitution of the Sn atoms. ITO is a nonstoichiometric compound on oxygen atoms that lead to the formula $In_{2-x}Sn_xO_{3-y}$. The value of *y* depends on the Sn doping, annealing temperature and atmospheric condition of furnace during annealing process [22-23]. Doping Sn⁴⁺ ions leads to decreasing the *y* value because of incorporation of compensating oxygen atoms [24-25].

In recent years, multi-layer oxide films, such as TiO₂/SiO₂ and ITO/TiO₂, provide a high visible light transmittance and good reflectance. For example, Ray et al., fabricated a multi-layer ITO/TiO2 film with more than 85% transmittance in the wavelengths above 900 nm [26]. Sawada and Taga [6] have developed an improved transparent IR reflector using a TiO₂/ITO They have succeeded in thin film. obtaining about 80% transmittance between wavelengths of 800 and 1200 nm.

In the present work, ITO nanostructured film was deposited by sol-gel dip-coating method on TiO₂ thin film to develop ITO/TiO₂ thin film with appropriate physical properties. The proposed method vielded monodisperse ITO nanoparticles with mean diameter of 12 nm. The resistivity of ITO films with different composition of the Sn atoms (0-20 wt. %) is analyzed. The effect of film thickness on resistivity and transparency of thin films is controlled studied under а thermal condition. The optical annealing parameters, properties, structural morphology and resistivity of the fabricated ITO/TiO₂ nanostructured thin were investigated films by UV-Vis spectrophotometer, XRD, SEM, and Fourpoint probe technique, respectively.

2. MATERIAL AND METHODS

2.1. Synthesis of TiO₂ and ITO Nanostructures

The sol-gel dip-coating technique can benefit of a colloidal inorganic precursor using metal salts and alkoxides [19]. Details of the solution preparation and dipcoating of TiO₂ thin film can be found in our last papers [27-28]. The ITO solution was prepared by dissolving 0.2376 g of indium nitrate trihydrate (In (NO₃)₃.H₂O, Merck, 99.9%) in 7 ml of acetylacetone (Merck, \geq 99.5%) under reflux at 65 °C for 30 min. A solution of ethanol (Merck. \geq 99.8%) and tin tetrachloride (SnCl₄, Merck. \geq 99.8%) is prepared by dissolving 0.0464 g of SnCl₄ salt in 7 ml of ethanol at room temperature. The obtained mixture was added drop wise to the first solution under stirring. Indium ions (In³⁺) reacts with acetylacetone to form a chelate structure of indium acetylacetone $(In(CH_3COCHCOCH_3)^{2+}).$ The obtained chelate complex expected to play a similar role to the alkoxide, where the metal ions are surrounded by oxygen atoms. Finally, the indium-acetylacetone changes to form indium (III) acetylacetonate salt (In(CH₃COCHCOCH₃)₃).

2.2. Fabrication of ITO and TiO₂ Thin Films

Dip-coating process of TiO₂ thin film as substrates in the ITO solution was performed with withdrawal velocity of 8.3×10^{-4} m.s⁻¹ at room temperature. The prepared films were heated at the temperature of 125 °C in an electric oven and cooled down to room temperature. The same process was repeated four times. The prepared film annealed at 550 °C in air (oxygen) and in vacuum (argon) under a heating rate of 3 °C min⁻¹. The samples allowed to cool at room temperature and prepared for further analysis.

2.3. Characterization of the ITO and TiO₂ Thin Film

The structure and morphology of the ITO/TiO_2 thin film is examined by scanning electron microscopy (SEM, Cam Scan MV2300 microscope). The surface morphology and roughness of the ITO

nanostructured thin films are studied by an atomic force microscope (AFM, DME DS-95-50). The film thickness was measured using a ZeScope optical profilometer.

The crystalline phase of TiO₂ and ITO nanostructures were determined by X-ray diffraction method (XRD, Philips PW 1800 diffractometer with Cu K α radiation). Transmittance of the film is measured by a UV-Vis spectrophotometer (Hitachi U-3140) in the range of 300-1000 nm. The resistivity of the films is measured using the four-point probe method.

3. RESULTS AND DISCUSSION 3.1 Crystallinity of the ITO and TiO₂

Figure 1 shows the XRD patterns of nanostructured ITO film (Fig. 1a-b) and TiO₂ thin film (Fig. 1c) annealed at 550 °C in air (Fig. 1a) and in vacuum (Fig. 1b). A maximum peak intensity corresponding to the (222) predominant orientation can be seen at 30° (Fig. 1 a-b). Other peaks are (211), (400), (332), (431), (440) and (622) planes, which exhibits a bixbyite structure of ITO with a unit cell containing 40 atoms and two non-equivalent cation sites [1-3]. The intensity of each peak was measured through fitting and integrating of the Gaussian function. The peaks suggested that the film annealed in the vacuum has high portion of (400)-oriented peak in compared to the annealed film in the air. The $I_{(222)}/I_{(222)}+I_{(400)}$ peak ratio, which is represent in XRD pattern of the film annealed in air condition, is high in comparison with the film that annealed under vacuum condition.

During heat treatment, oxygen atoms would be absorbed on the substrate or the film surface. Therefore, the In and Sn atoms are easily trapped by the oxygen atoms. The average mobility of In and Sn atoms is decrease in the air conditions that lead to increase in (222)-peak intensity. The peak ratio of the (400/222) was ~0.4, yielding low sheet resistance in the ITO films [29, 30]. Figure 1c shows a pronounced (101) peak of TiO₂ at 25°, suggesting that the anatase phase. Other peaks are observed at (004), (211), and (116) planes. None of the spectra showed any characteristic peaks of Sn, SnO and SnO₂, which means that the Sn atoms were doped substitutionally in the In₂O₃ crystal lattice. The average crystalline size of ITO particles is determined by the (222) peak broadening by Scherrer's equation. The mean size of the ITO particles annealed in vacuum and in air is found to be 18 and 24 nm, respectively. This result means the crystallite size of the ITO nanoparticles applied depends on the annealing atmosphere.



Figure 1. The XRD patterns of ITO (a, b) and $TiO_2(c)$ thin film annealed at 550 °C in air (a) and in vacuum (b).

3.2. Structural Properties of the ITO and TiO₂ Thin Film

Figure 2 shows the SEM image of TiO_2 (Fig. 2a and 2c) and ITO nanostructured film (Fig. 2b and 2d). Figures 2a and 2b show low-magnification SEM image of TiO_2 and ITO thin film, respectively. It can be seen that the TiO_2 nanorods are formed on the film surface (Fig. 2a), which may undergo a crystal growth of the TiO_2 nanoparticles as governed by the surface charges and surface energy.

Figure 2b shows the large quantities of the ITO nanoparticles with tip edges were formed on the film surface. Figures 2c and 2d show the high-magnification SEM image of TiO₂ and ITO thin film, respectively. Figure 2c shows a fairly uniform distribution of nanoparticles on surface. Also. the the film ITO nanostructured film had more homogenously distributed particle size and more uniform shapes than TiO₂ thin film. Detailed SEM analysis on the ITO film that annealed in vacuum showed no evidence aggregation/sintering or cracks on the film surface. Figure 2d shows the uniform surface of ITO nanostructured film with mean particle diameter of 12 nm. Typically, the size of particles is between 10.07-15.00 nm.

Figure 3 shows the AFM image of TiO₂ (Fig. 3a) and ITO film (Fig. 3b). It can be seen that the TiO₂ surface shows a rough surface with trenches compared with the ITO nanostructured film. AFM images show that the particle size in ITO nanostructured films is smaller compared with the TiO₂ film; which result the ITO nanostructured films possess larger surface area. AFM images analysis showed that the ITO nanostructured film has a smooth surface over a 25 μ m² with a root-mean-square surface roughness of 4.56 nm.

Figure 4 illustrates the variation in the height of the summits as determined by the AFM analysis for the prepared TiO₂ (Fig. 4a) and ITO (Fig. 4b) film. Images are lines profile along the A1–A1 and B1–B1 in Fig. 3, respectively.

The AFM analysis shows that the surface morphology of TiO_2 film show fairly uniform morphology, while ITO nanostructured film shows homogenous structure of smooth surface. The total thickness of ITO/TiO₂ film was determined by ZeScope optical profilometer to be 405±5 nm.



Figure 2. SEM images of (a) TiO_2 thin film and (b) ITO nanostructured film. [(a-b) are low-magnification and (c-d) are highmagnification images, respectively].



Figure 3. AFM images of (a) TiO₂ thin film and (b) ITO nanostructured film.

3.3. Electrical Properties of ITO Film

resistivity The sheet of ITO nanostructured films is studied to achieve efficient charge transport of the metal through controlled oxide Sn-doping. Figure 5 shows the Sn-weight ratio dependent resistivity of the ITO film annealed at 550°C in the vacuum and in air. The annealing temperature is selected based on the literature [21, 23]. Alam and Cameron reported a large reduction in resistivity of the ITO film, when the temperature was up to 500 °C [32].

According to Figure 5, it can be seen that when Sn^{4+} is doped into In_2O_3 in low values (0-8 wt.%), the resistivity of the ITO film decreases sharply with increasing Sn^{4+} doping level, but beyond 8 wt.% Sn atomic ratio showed a resistivity of 5.1×10^{-4} Ω -cm, the resistivity increases when Sn atomic ratio increases from 8 to 20 wt.%. This resistivity trend is consistent with previous studies [24].

The sheet resistances of the ITO films annealed in air were higher than that heattreated in vacuum.



Figure 4. AFM image analysis of (a) TiO₂ and (b) ITO nanostructured film. [a and b images are lines profile along the A1–A1 and B1–B1 in Fig. 3, respectively].

The difference observed in resistivity between the two atmospheric conditions is explained by the difference in Sn concentration and oxygen vacancy sites in the ITO crystal lattice [24, 25]. At first, the sheet resistivity of the ITO films annealed in the air does not increase considerably with increases in Sn-doping, which is believed to have low electron mobility. Second, the oxygen vacancy sites stands between the valence and the conduction bands, which are responsible for the lower resistivity of the ITO films.

The oxygen atoms existing freely on the ITO structure heated in the air because of developing a fine crystallite structure in compared with the vacuum. Fallah et al. showed that not all of the Sn atoms can be substituted into indium atom sites with increasing Sn doping, in other word, all of tin atoms do not behave as effective donors. Therefore, low values of Sn⁴⁺ ions are transformed into Sn²⁺, which acts as acceptors [21]. Chan et al. reported that the

oxygen partial pressure has a strong effect on the sheet resistances of ITO nanostructured films [33]. They found that the decrease in sheet resistance due to increasing oxygen partial pressure is attributed to enhanced electron mobility in stoichiometric ITO thin film.



Figure 5. Sheet resistance of the ITO nanostructured film as a function of Sn doping at annealing atmosphere of (a) air, and (b) vacuum.

Figure 6 shows the thickness-dependent resistivity of the ITO nanostructured film annealed at 550 °C in the vacuum. For ITO nanostructures with Sn composition fixed at 8 wt.%, the resistivity decreases up to thickness values of 140 nm and then remain relatively constant. As a result, The 165 nm thick ITO nanostructured film containing 8 wt.% Sn atoms annealed at 550 °C under vacuum condition showed a resistivity of $5.1 \times 10^{-4} \Omega$ -cm.

3.4. Optical Properties of ITO Film

Figure 7 shows the transmittance spectrum of ITO and TiO_2 thin films annealed at 550 °C on glass slide between the wavelength of 300 and 1000 nm.

The thickness of ITO and TiO₂ films are determined 165 ± 8 nm and 240 ± 10 nm, respectively. The thickness of glass slides were 2 mm. All thin films annealed at 550 °C in the vacuum and in air.



Figure 6. Resistivity changes as a function of the ITO film thickness containing 8 wt.% Sn annealed at 550 °C in the vacuum.

The typical maximum (T_M) and minimum (T_m) of the oscillating transmittance of the ITO nanostructured film annealed at 550 °C in the air is shown in Fig. 7b.

It can be seen from Fig. 7a that the transmittance of films is about 90% in the wavelengths between 500 and 700 nm. A small difference exists in the transmittance levels between the prepared films annealed in the air and vacuum condition. Each of the spectra can be divided into two regions: a transparent raising region and a zone of strong and stable transmittance. The fast decrease below about 380 nm is caused by light absorption under excitation of electrons from the valence band to the conduction band of metal oxides.

The curves with stable and strong transmittance between 380 and 800 nm are caused by the interference between the film and the glass substrate. Details of the optical properties of nanostructured TiO_2 thin film on the glass slide can be found in our last paper [28].

The optical constants such as the refractive index $n(\lambda)$ and the extinction coefficient $k(\lambda)$ of the ITO/TiO₂ film were calculated from the transmittance spectrum



Figure 7. (a) Transmittance spectra of ITO and TiO_2 films annealed at 550 °C, (b) Typical maximum and minimum of the oscillating transmittance of the ITO film.

following the method proposed by Manifacier and Swanepoel [34, 35].

If two envelopes are drawn through the maximum $T_M(\lambda)$ and minimum $T_m(\lambda)$ of the oscillating transmittance, the refractive index will be given as:

$$n(\lambda) = \sqrt{N(\lambda) + \sqrt{N^2(\lambda) - s^2(\lambda)}}$$
(1)

where

$$N(\lambda) = 2s(\lambda) \frac{T_{M}(\lambda) - T_{m}(\lambda)}{T_{M}(\lambda) \times T_{m}(\lambda)} + \frac{s^{2}(\lambda) + 1}{2}$$
(2)

here T_M and T_m can be calculated in term of

$$T_{M}(\lambda) = \frac{1}{\frac{1}{T_{m}(\lambda)} - A(\lambda)}$$
(3)
$$T_{m}(\lambda) = \frac{1}{\frac{1}{T_{M}(\lambda)} + A(\lambda)}$$
(4)

and

A
$$(\lambda) = \frac{m^4 \lambda^4 + 16 s(\lambda)^2 d^4 - 4 d^2 m^2 \lambda^2 (1 + s(\lambda)^2)}{16 s(\lambda) d^2 m^2 \lambda^2}$$
 (5)

 $s(\lambda)$ is the refractive index of glass slide. Considering the glass substrate alone in the absence of a film, $s(\lambda)$ is given by:

$$s(\lambda) = \frac{1}{T_{s}(\lambda)} + \sqrt{(\frac{1}{T_{s}^{2}(\lambda)} - 1)}$$
(6)

where $T_s(\lambda)$ is the transmission of the glass slide in the absence of metal oxide film.

The basic equation for interference fringes is given by:

$$2 n (\lambda) d = m \lambda \tag{7}$$

here m is an integer for peak values and half integer for valleys. If d is measured, the refractive index of peaks and valleys can be calculated by equation 1.

Based on the Manifacier and Swanepoel's method, the values of the T_M and T_m were estimated by measuring the real thickness of the thin film [34, 35]. But, it was found that the calculated T_M derived from the real thickness of the thin film was larger than 100% ($T_M = 107.2\%$, $T_m =$ 104.5%). It is obvious that the calculated n (λ) obtained by fitting the curves of T_M and T_m , (Fig. 7b), slightly deviates from the real value of the refractive index. One of the main reason for this deviation is that in equation 1, $k(\lambda)$ is considered to be zero, while the value of $k(\lambda)$ is not equal to zero and the transmission is sensitive to this parameter [17, 26, 35]. Therefore, equation 2 can only give an approximate value of n (λ) . The value of $k(\lambda)$ can be calculated from the following equation [26]:

$$k(\lambda) = \alpha(\lambda) / 4 \tag{8}$$

where α (λ) is the absorption coefficient given by

$$\alpha(\lambda) = -\frac{\ln x(\lambda)}{d} \tag{9}$$

here $x(\lambda)$ is the absorbance and is given as

$$x(\lambda) = \frac{E_M - \left\{ E_M^2(\lambda) - \left[n^2(\lambda) - 1\right]^3 \left[n^2(\lambda) - s^4(\lambda)\right] \right\}^{1/2}}{\left[n^2(\lambda) - 1\right]^3 \left[n(\lambda) - s^2(\lambda)\right]}$$
(10)

and

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$$E_{M} = \frac{8n^{2}(\lambda)s(\lambda)}{T_{M}(\lambda)} +$$

$$\begin{bmatrix} n^{2}(\lambda) - 1 \end{bmatrix} \begin{bmatrix} n^{2}(\lambda) - s^{2}(\lambda) \end{bmatrix}$$
(11)

Substituting $\alpha(\lambda)$ into equation 8 results the $k(\lambda)$ value.

Figure 8 shows the calculated values of refractive index (Fig. 8a) and extinction coefficient (Fig. 8b) of ITO and ITO/TiO₂ film as a function of the wavelength. The ITO film was transparent at wavelengths greater than 430 nm as the reflection of the incident light was effectively suppressed. The refractive index at a wavelength of 430 nm is found to be about 1.834 (Fig. 8a), which is lower than that of polycrystalline ITO thin films reported by other researchers (n = 1.91 [17], 2.01 [24] and 1.96 [26]).

According to Fig. 8a, it can be seen that the ITO film annealed under vacuum shows a lower refractive index in comparison with the ITO film annealed in the atmospheric condition. It can be explained by more (400) oriented crystal structure that have been resulted in lower refractive index. In most cases, ITO films crystallize in cubic bixbyite structures are optically isotropic. Therefore, the perpendicular crystallographic planes in ITO films have an optical symmetry with each other. But, (222) and (400) peaks, which are the main crystallographic orientations of the ITO thin films fabricated in this work are not perpendicular. The ITO films with a prefect (222) or a (400) oriented peak have various optical constants in the perpendicular direction to substrates because of unlike energy of electrons and different atomic arrangements.

Figure 8b shows the calculated $k(\lambda)$ of ITO/TiO₂ and ITO nanostructured films in the vacuum and in air. The values of $k(\lambda)$ obtained for ITO/TiO₂ film heated under vacuum and air were found to be about 6.2×10^{-3} and 5.1×10^{-3} respectively. Nevertheless, these values are lower than that of ITO thin films (7.43×10^{-3}) [35].



Figure 8. Refractive index (a) and extinction coefficient (b) of ITO (solid line) and ITO/TiO₂ films (dashed line)

As shown in figure 8b, higher free carrier density seems to be responsible for higher extinction coefficients in the near infrared region, which indicates good crystallinity of the ITO film heated under vacuum. The changes in extinction coefficients are different between the visible range ($\approx 400-700$ nm) and the near infrared region (> 700 nm). In the visible extinction coefficients range, the of samples are close together, while in the near infrared region, atmospheric applied during conditions annealing process lead to difference in the extinction coefficients of ITO films [36, 37].

It is worthy of attention to interpret the complex refractive index *R* of an ITO/TiO₂ thin film, which is given by: [26, 38]

$$\mathbf{R} = \left(1 - \frac{\mathbf{n}_2}{\mathbf{n}_1}\right)^2 / \left(1 + \frac{\mathbf{n}_2}{\mathbf{n}_1}\right)^2$$
(12)

The complex refractive index gives the fraction of reflected light at the interface of ITO/TiO₂ film. Here n_1 and n_2 are the real parts of the complex refractive indexes of TiO₂ and ITO, respectively. The optical band gap (E_g) of the ITO and TiO₂ films were estimated by assuming a direct transition between valence and conduction bands. Figure 9 shows the plotting [α (λ) hv]² relative to photon energy (hv) of ITO/TiO₂ thin film[35].

A linear relation exists in a certain range, thus supporting the assumption of a direct transition. The E_g of the ITO/TiO₂ thin film can be calculated by extrapolating the linear portion of a plot of $[\alpha (\lambda) hv]^2$ versus (*hv*) to $[\alpha (\lambda) hv]^2 = 0$. According to this method, the E_g of the ITO/TiO₂ thin film annealed in the air and in vacuum are about 3.77 and 3.86 eV, respectively, which are higher than that of ITO thin film (3.67 eV) [38-40]. It appears that the difference is mainly due to quantum size effect and existence of amorphous phase in the ITO/TiO₂ thin film. The quantum-size effect resulted in a dramatic increase in the gap energy if the crystallite band dimensions became very small.



Figure 9. Plot of $(\alpha hv)^2$ versus photon energy (ev) of ITO/TiO₂ thin film annealed at different conditions. The band gap energy is deduced from extrapolation of the straight line to $[\alpha (\lambda) hv]^2 = 0$.

3- CONCLUSION

High transparent conductive ITO/TiO_2 nanostructured thin film is prepared by a

sol-gel dip-coating method. The ITO nanoparticles with mean diameter of 12 nm and a root-mean-square roughness of 4.56 nm are deposited uniformly on the TiO₂ thin film. This method yielded ITO nanostructured film with Sn concentration between 0-20 wt.%. Through controlled annealing temperature at 550°C, the results of four-point probe technique showed that the resistivity of the nanocrystalline ITO film depends on the Sn doping, the prepared film and thickness of the atmospheric conditions applied during annealing. The experimental results showed that the annealing under vacuum condition leads to the reduction of the sheet resistance of the ITO film. The 165 thick ITO nanostructured film nm containing 8 wt.% Sn atoms annealed under vacuum condition showed a low 5.1×10⁻⁴ resistivity of Ω-cm and transparency as high as 90% in the visible light.

The experimental results showed that the refractive index, extinction coefficient, and band gap are closely related to the atmospheric conditions and crystallinity of the ITO nanostructures. The calculated value of refractive index obtained from the transmittance spectrum showed that the ITO film annealed in the vacuum has a lower value in comparison with the film annealed in the air. It can be explained by more (400) oriented structure that resulted in lower refractive index. Thus, the films with higher refractive index had more (222)-oriented crystallographic structures. The complex refractive index of the ITO/TiO₂ film is also calculated from the individual refractive index of ITO and TiO₂ thin films. The extinction coefficient obtained for ITO/TiO₂ thin film heated in the air and in the vacuum are found to be about 6.2×10^{-3} and 5.1×10^{-3} , respectively. The optical method showed that the band gap of the ITO/TiO₂ thin film annealed in the air and in the vacuum are 3.77 and 3.86 eV, respectively. This novel monodisperse ITO structure fabrication and its

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